



Personnel Security Update

April 2016

Presented by: Ryan Dennis

Personnel Security Management Office for Industry (PSMO-I)



National Industrial Security Program

- “...the National Industrial Security Program shall serve as a single, integrated, cohesive industrial security program to protect classified information and to preserve our Nation's economic and technological interests.”

Executive Order 12829 of January 8, 1993

DSS is addressing today's risk environment ... through our authorities and our unique access to industry



DSS Top Priorities



People First, Mission Always



**Partnership With Industry &
Government**



Tell the DSS Story



Functions of the PSMO-I



PCL Oversight

- e-QIP Submissions
- e-Fingerprints



Personnel Clearance Processing

- Interim Clearances
- PCL Eligibility/Access



Continuous Evaluation

- Periodic Reinvestigations
- Incident Report Triage



Interim Suspension

- Non-disclosure Agreement (SF-312)
- Clearance System Records Data Management



Industry Liaison

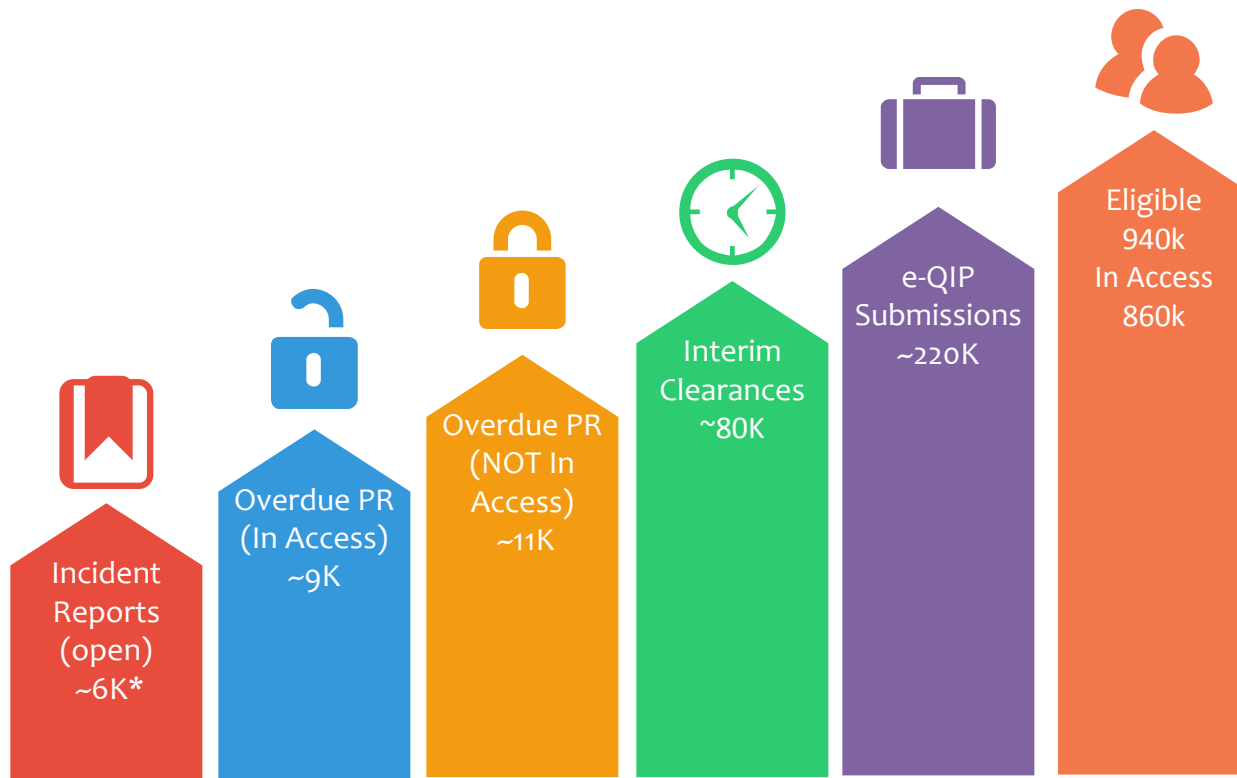
- NISP PCL IT System Requirements
- Triage Outreach Program



NISP PCL Report Card

Mar 2016

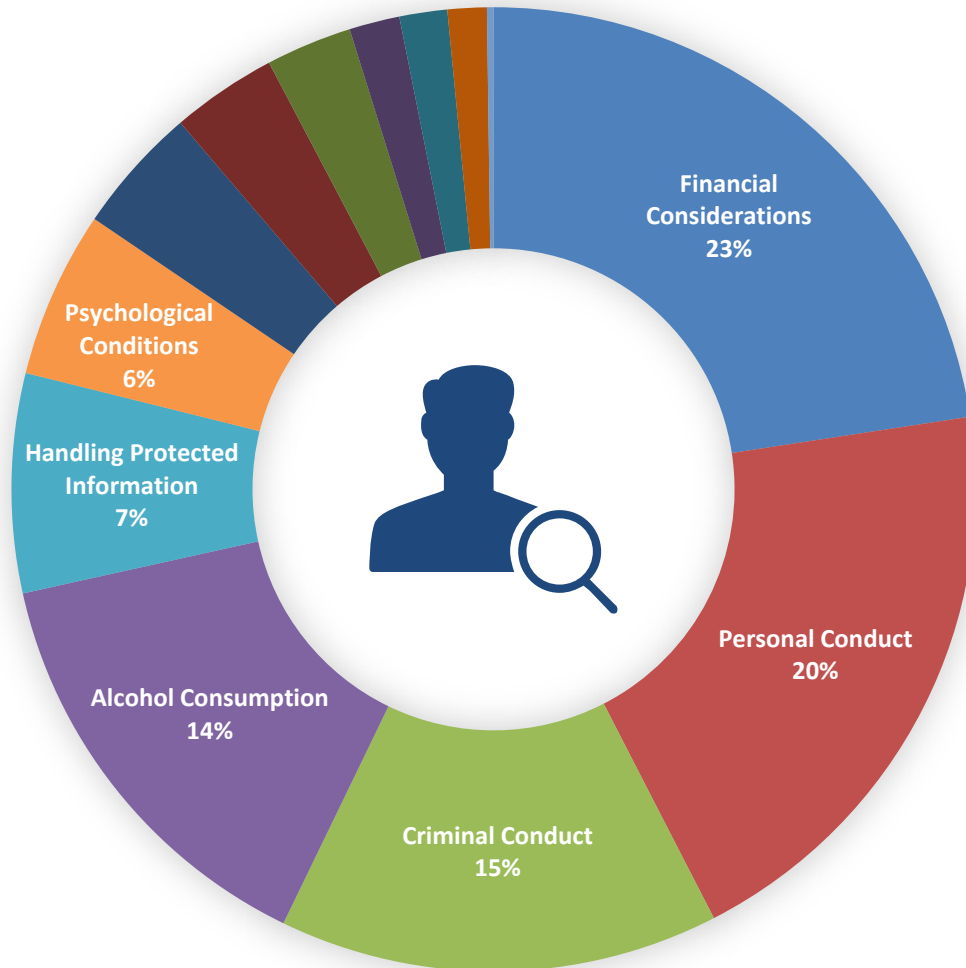
Funding: 250M





Incident Reporting

Mar 2016





Facility Security Officer (FSO) Role

- Responsible for the day-to-day PSI program management for your facility
- Trained to comply w/NISPOM requirements to obtain/maintain security clearances
 - Initiate/submit completed e-QIP (SF-86) to PSMO-I based on contract requirements
 - Submit signed Non-Disclosure Agreement (SF312) to PSMO-I
 - JPAS record maintenance/update:
 - Take owning or servicing relationship
 - Add or remove Access as required
 - Add separation date as appropriate
 - Ensure Continuous Evaluation (CE)
 - Track and Initiate Periodic Reinvestigations
 - Report Incidents, security violations, suspicious contact reports
 - Conduct Security Training
 - Maintaining SF86 - No Longer cited as Vulnerability
 - No JPAS and SWFT Inactive Accounts
 - No JPAS Printouts (No Sharing)
 - No Looking Up Your Own Record
 - No Unreported Incident Reports
 - No Overdue PRs
 - No Unacceptable Notices (e-QIP)
- Complete annual PSI-I survey to project requirements for the next 1 – 3 years



FSO Effectiveness

SVA

- **Avoid Red Flag:** Ensure no KMP overdue PRs
 - Goal: No Overdue PRs – can submit up to 90 days in advance
- **Avoid Red Flag:** Keep JPAS account active - Log in daily
 - JPAS Account Inactive/Terminated –30 days/45 days



KMP

- Ensure KMP PCL at level of FCL
- Notify IS Rep of KMP changes



FSO Effectiveness

PSI Initiation

- Keep PSI requests to a minimum
- Submit e-QIP as soon as completed - IRTPA initiate timelines
- Submit electronic fingerprints when submitting e-QIP



CE

Security Training on Self Reporting and Submitting Incident Reports





OPM Cybersecurity Breach

- OPM started sending notification letters and PIN codes out to individuals whose Social Security Number and other personal information was stolen in a cyber intrusion involving background investigation records.
 - Notification process is expected to take up to 3 months
 - <https://www.opm.gov/cybersecurity>
- Posted on OPM site: "While we are not aware of any misuse of your information, we are offering you, and any of your dependent minor children who were under the age of 18 as of July 1, 2015, credit and identity monitoring, identity theft insurance, and identity restoration services for the next three years through ID Experts, a company that specializes in identity theft protection."
- List of Names and Address (no longer required)
 - Obtained through Third Party Vendor
 - Thanks to Industry Companies for providing



Tier 3/3R Implementation

Tier 3/3R Implementation

- Per revised Federal Investigative Standards for National Security Investigations, the Office of Personnel Management (OPM) has implemented Tier 3 and Tier 3 Reinvestigation (3R) Investigative products into their systems, effective **October 1, 2015**, to replace the *Access National Agency Check and Inquiries (ANACI)* and *National Agency Check with Local Agency Check and Credit Check (NACLCLC)* Investigation types used for Confidential and Secret Eligibility.
- JPAS was modified to implement the new Tier 3/3R Investigation types.
 - Modified business rules for Tier 3/3R Investigations to include:
 - Requesting Investigations and/or Eligibility
 - Granting Eligibility (Interim and non-Interim)
 - Granting Access
 - Managing JPAS accounts
 - Added logic to methodically convert any incoming Accessions ANACI or NACLCLC Investigation Requests to be Tier 3/3R before submitting to OPM.
- **Benefit** – To comply with revised Federal Investigative Standards which serve to align investigative elements at each tier to promote efficiency, consistency, and employee and contractor mobility across different government agencies thereby improving reciprocity and reducing program costs! *Full implementation of the tiered Investigative Standards is planned by 2017.*



Click to Sign (e-QIP)

Standard Form 88
Revised December 2009
U.S. Office of Personnel Management
S-CFR Parts 751, 752, and 756
OMB No. 3208-0005

**QUESTIONNAIRE FOR
NATIONAL SECURITY POSITIONS**

UNITED STATES OF AMERICA

**FAIR CREDIT REPORTING
DISCLOSURE AND AUTHORIZATION**

Disclosure
One or more reports from consumer reporting agencies may be obtained for employment purposes pursuant to the Fair Credit Reporting Act, codified at 15 U.S.C. § 1681 et seq.

Purpose
Information provided by you on this form will be furnished to the consumer reporting agency in order to obtain information in connection with a background investigation to determine your (1) fitness for Federal employment, (2) clearance to perform contractual service for the Federal government, and/or (3) eligibility for a sensitive position or access to classified information. The information obtained may be disclosed to other Federal agencies for the above purposes in fulfillment of official responsibilities to the extent that such disclosure is permitted by law. Information from the consumer report will not be used in violation of any applicable Federal or state equal employment opportunity law or regulation.

Authorization
I hereby authorize the investigative agency conducting my background to obtain such reports from any consumer reporting agency for employment purposes described above.

Note: If you have a security freeze on your consumer or credit report file, then we may not be able to complete your investigation, which can adversely affect your eligibility for a national security position. To avoid such delays, you should request that the consumer reporting agencies lift the freeze in these instances.

Your Social Security Number (SSN) is needed to identify your unique records. Although disclosure of your SSN is not mandatory, failure to disclose your SSN may prevent or delay the processing of your background investigation. The authority for collecting and verifying your SSN is Executive Order 13526.

Print name sdfg sdfg gfdg	Social Security Number xxx-12-3456
Signature (Sign in ink)	Date (mm/dd/yyyy) 04/08/2015

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U.S. Office of Personnel Management
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OMB No. 3208-0005

**QUESTIONNAIRE FOR
NATIONAL SECURITY POSITIONS**

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, investigation or continuous evaluation (as defined in Executive Order 12968 as amended by Executive Order 13467) to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, email business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of evaluating a determination of suitability or eligibility for a national security position.

I authorize the Social Security Administration (SSA) to verify my Social Security Number to match my name, Social Security Number, and date of birth with information in SSA records and provide the results of the match to the United States Office of Personnel Management (OPM) or other Federal agency requesting or conducting my investigation for the purposes outlined above. I authorize SSA to provide explanatory information to OPM, or to the other Federal agency requesting or conducting my investigation, in the event of a discrepancy.

I understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, separate specific releases may be needed, and I may be contacted for such releases at a later date.

I authorize any investigator, special agent, or other duly accredited representative of the OPM, the Federal Bureau of Investigation, the Department of Defense, the Department of State, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for assignment to, or retention in, a national security position, in accordance with 5 U.S.C. 9011. I understand that any request a copy of such records as may be available to me under the law.

I authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 88, and that it may be disclosed by the Government only as authorized by law.

I authorize the information to be used to conduct officially sanctioned and approved personnel security-related studies and analyses, which will be maintained in accordance with the Privacy Act.

Photocopies of this authorization with my signature are valid. This authorization shall remain in effect so long as I remain employed in a sensitive position requiring eligibility for access to classified information.

Signature (Sign in ink)	Full name (Type or print legibly) sdfg sdfg gfdg	Date signed (mm/dd/yyyy) 04/08/2015
Other names used	Date of birth 01/01/1980	Social Security Number xxx-12-3456
Current street address dfg	State PA	Zip Code 16050
	City (Country) sdfg	Home telephone number 3333333333

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Purpose
Information provided by you on this form will be furnished to the consumer reporting agency in order to obtain information in connection with a background investigation to determine your (1) fitness for Federal employment, (2) clearance to perform contractual service for the Federal government, and/or (3) eligibility for a sensitive position or access to classified information. The information obtained may be disclosed to other Federal agencies for the above purposes in fulfillment of official responsibilities to the extent that such disclosure is permitted by law. Information from the consumer report will not be used in violation of any applicable Federal or state equal employment opportunity law or regulation.

Authorization
I hereby authorize the investigative agency conducting my background to obtain such reports from any consumer reporting agency for employment purposes described above.

Note: If you have a security freeze on your consumer or credit report file, then we may not be able to complete your investigation, which can adversely affect your eligibility for a national security position. To avoid such delays, you should request that the consumer reporting agencies lift the freeze in these instances.

Your Social Security Number (SSN) is needed to identify your unique records. Although disclosure of your SSN is not mandatory, failure to disclose your SSN may prevent or delay the processing of your background investigation. The authority for collecting and verifying your SSN is Executive Order 13526.

Print name sdfg sdfg gfdg	Social Security Number xxx-12-3456
Signature (Sign in ink)	Date (mm/dd/yyyy) 04/08/2015

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**QUESTIONNAIRE FOR
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UNITED STATES OF AMERICA

**AUTHORIZATION FOR RELEASE
OF MEDICAL INFORMATION
PURSUANT TO THE HEALTH INSURANCE PORTABILITY
AND ACCOUNTABILITY ACT (HIPAA)**

If you answered "Yes" to Question 21, carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release
This is a release for the investigator to ask your health practitioner(s) the questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

Authorization
I am seeking assignment to or retention in a national security position. As part of the clearance process, I hereby authorize the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

In accordance with HIPAA, I understand that I have the right to revoke this authorization at any time by writing to the U.S. Office of Personnel Management. I understand that my revocation of this authorization except to the extent that action has already been taken based on this authorization. Further, I understand that this authorization is voluntary. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.

I understand the information disclosed pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 88 and that it may be disclosed by the Government only as authorized by law, but will no longer be subject to the HIPAA privacy rule.

Photocopies of this authorization with my signature are valid. This authorization is valid for one (1) year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature (Sign in ink)	Full name (Type or print legibly) sdfg sdfg gfdg	Date signed (mm/dd/yyyy) 04/08/2015
Other names used	Date of birth 01/01/1980	Social Security Number xxx-12-3456
Current street address dfg	State PA	Zip Code 16050
	City (Country) sdfg	Home telephone number 3333333333

For Use By Practitioner(s) Only

Does the person under investigation have a condition that could impair his or her judgment, reliability, or ability to properly safeguard classified national security information?

YES ☒ NO ☐

If so, describe the nature of the condition and the extent and duration of the impairment or restriction.

What is the prognosis?

Dates of treatment?

Signature (Sign in ink)	Practitioner name	Date signed (mm/dd/yyyy)
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e-QIP Version 3.10
e-QIP Investigation Request # 1302086

e-QIP Document 1

Signature (Sign in ink)	Full name (Type or print legibly) sdfg sdfg gfdg	Date signed (mm/dd/yyyy) 04/08/2015
Other names used	Date of birth 01/01/1980	Social Security Number xxx-12-3456
Current street address dfg	State PA	Zip Code 16050
	City (Country) sdfg	Home telephone number 3333333333

i-310
petition Request # 1302086

e-QIP Document Type MEL

Signature (Sign in ink)
This form was digitally signed by: **FIRST MIDDLE LAST** in accordance with the Electronic Signature Act 15 U.S.C. 7001, Public Law 105-277 the Uniform Electronic Transaction Act, and other regulations governing electronic signatures and access controlled U.S. Government systems.

Other names used	Date of birth 01/01/1980	Social Security Number 011-10-0101
Current street address Street	State TN	Zip Code 37849
	City (Country) City	Home telephone number 0987654321



Influencing the Way Ahead

WNY Implementation

DoD Programs

Insider Threat – DoD Insider Threat Management and Analysis Center (DITMAC) - new DSS mission

National Level Programs

Federal Investigative Standards (FIS)

Tier 3 Secret/Tier 5 Top Secret

Performance Accountability Council (OMB PAC PMO)

Personnel Security Reform [120 Day Report to the President](#) - (Performance.gov)
90 day Review (Cyber Breach)

Innovations

PSI-I

[Click to Sign](#)

Interim Clearance automation

Industry Portal for information update, document sharing, and SF312

Information Systems

Congressional

[Section 1628 of NDAA FY15 Personnel Security and Insider Threat](#)

Page 870 (CE, Automated Records Checks, Insider Threat Analysis)

Defense Information System for Security (DISS) - JVS

National Industrial Security System (NISS) replace ISFD and eFCL

National Contract Classification System (NCCS) – DD Form 254



PSMO-I

DSS Knowledge Center

NEW

DMDC Contact Center

DoD CAF Call Center

For Further Assistance...

Address: Defense Security Service
Fax: (571) 305-6011 • PSMO-I.fax@dss.mil*
Email: AskPSMO-I@dss.mil • Policy_HQ@dss.mil

*Note: When using the e-fax option to submit **SF-312s** or **any PII**, encrypt the file in the first email and send the password in a separate email.

Phone: (888) 282-7682
Menu Options:

1 – System Access Issues

1. e-QIP & Golden Questions
2. ISFD, OBMS, NCAISS
3. STEPP

2 – Personnel Security Inquiries

1. e-QIP & Golden Questions
2. Research, Recertify or Upgrade
3. Incident Report or Security Violation
4. Unacceptable Case Notices
5. Overseas or CONUS
6. All Other Personnel Clearance Inquiries

3 – Facility Clearance Inquires

4 – OBMS

5 – CDSE / STEPP

6 – International

7 – Policy

1. NISPOM Policy Inquiries
2. NISPOM Policy Email
3. International Assurance / Visits / LAA

Phone: 1-800-467-5526
Email: dmdc.contactcenter@mail.mil • dmdc.swft@mail.mil
Menu Options:

1 – JPAS

3 – SWFT

4 – DCII

5 – Personnel Security Inquiry

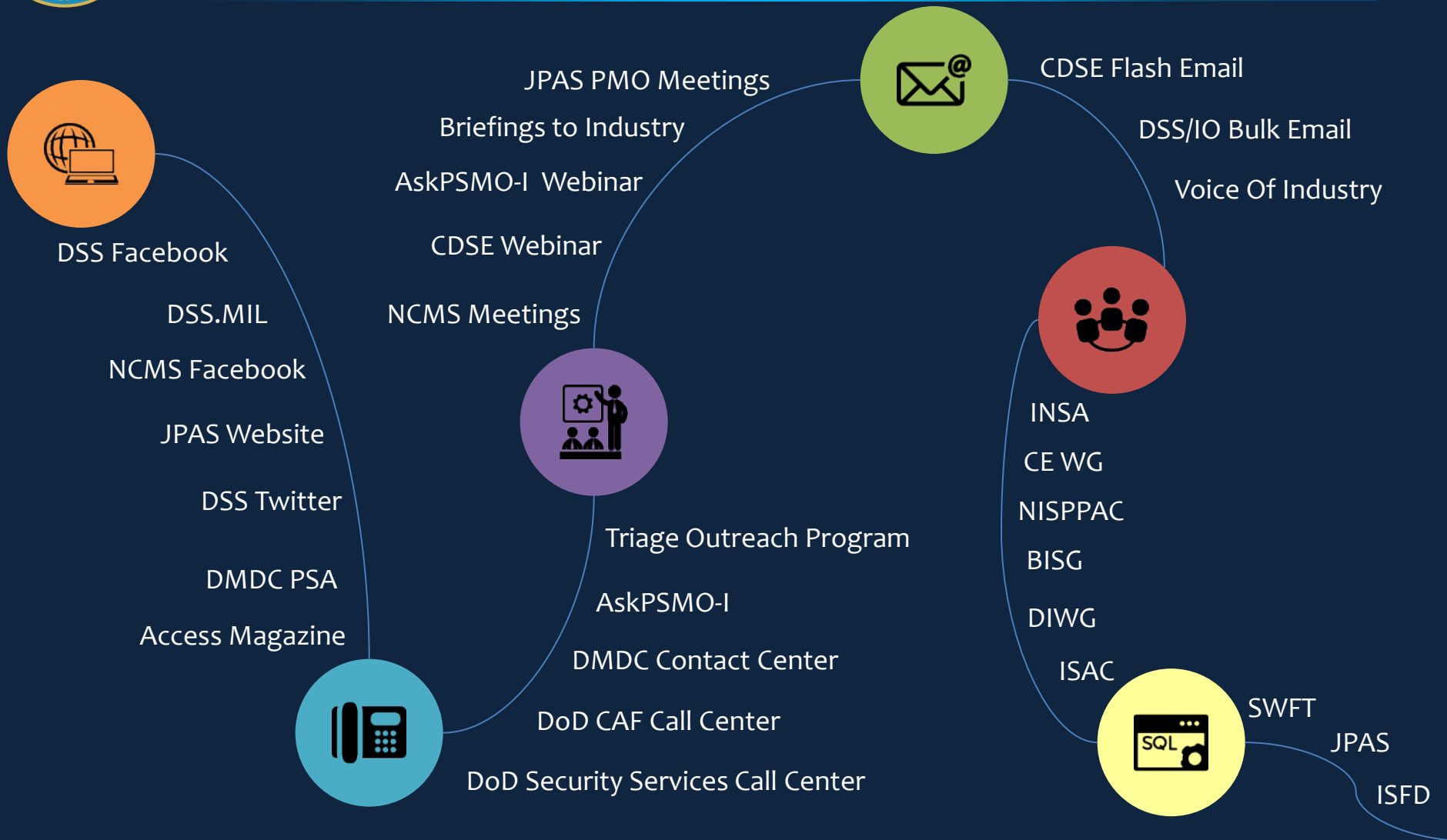
6 – General Inquiry / Contact Center Information

Phone: 301-833-3850 (SSOs and FSOs ONLY)
Website: <http://www.dodcaf.whs.mil/>
Menu Options:

5 – Industry



Engagement and Collaboration





ANY
QUESTIONS
?